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**Germany-Frankfurt-on-Main: ECB - Performance of on-site supervisory activities
2014/S 230-405194**

Contract notice

Services

Directive 2004/18/EC

Section I: Contracting authority

I.1) Name, addresses and contact point(s)

European Central Bank
Sonnemannstraße 22
For the attention of: Ramón van Deelen
60314 Frankfurt-on-Main
GERMANY
Telephone: +49 6913440
E-mail: procurement@ecb.europa.eu
Fax: +49 6913447110

Internet address(es):

General address of the contracting authority: <http://www.ecb.europa.eu>

Electronic access to information: <https://www.ecb.europa.eu/secure/procurement/>

Further information can be obtained from: The above mentioned contact point(s)

Specifications and additional documents (including documents for competitive dialogue and a dynamic purchasing system) can be obtained from: The above mentioned contact point(s)

Tenders or requests to participate must be sent to: The above mentioned contact point(s)

I.2) Type of the contracting authority

European institution/agency or international organisation

I.3) Main activity

Economic and financial affairs

I.4) Contract award on behalf of other contracting authorities

The contracting authority is purchasing on behalf of other contracting authorities: no

Section II: Object of the contract

II.1) Description

II.1.1) Title attributed to the contract by the contracting authority:

Performance of on-site supervisory activities.

II.1.2) Type of contract and location of works, place of delivery or of performance

Services

Main site or location of works, place of delivery or of performance: Frankfurt-on-Main, Germany.

NUTS code DE712

II.1.3) Information about a public contract, a framework agreement or a dynamic purchasing system (DPS)

The notice involves the establishment of a framework agreement

II.1.4) Information on framework agreement

Framework agreement with several operators
maximum number of participants to the framework agreement envisaged: 6

Duration of the framework agreement

Duration in years: 3

II.1.5) Short description of the contract or purchase(s)

The ECB intends to select highly-qualified external providers, including international accounting/audit firms, with experience in the assessment of credit institutions' risks, including the applicable risk models and risk management processes (the 'risks'), as well as in the review of credit institutions' compliance with prudential rules, namely the CRD IV and the CRR requirements together with the level 2/implementing regulations, e.g. Commission implementing regulations, regulatory and implementing technical standards, national discretions (the 'prudential rules').

The ECB intends to select external service providers that have at their disposal a pool of professionals at the level of manager or above (the 'professionals') to join upon request on-site missions carried out by the SSM, who collectively possess in-depth knowledge and experience in the review of compliance with the prudential rules and the assessment of the following risks:

1. business model and profitability;
2. credit and counterparty risk;
3. interest rate risk in the banking book;
4. market risk;
5. securitisation risk;
6. operational risk (including legal and compliance risks);
7. concentration risk;
8. risk of excessive leverage;
9. internal governance and risk management;
10. liquidity risk and position; and
11. capital position.

On the basis of the procurement procedure, the ECB intends to establish up to 6 framework agreements (the 'Contract(s)') for a fixed, non-extendable period of approximately 3 years entering into force in 2015 upon their signature, and expiring on 31.12.2017. Notwithstanding the expiry of the contract(s), orders agreed on the basis of the contract(s) prior to their expiry may continue until the completion of the respective services.

The services shall be provided in all the 24 EU official and working languages (Bulgarian, Spanish, Czech, Danish, German, Estonian, Greek, English, French, Irish, Croatian, Italian, Latvian, Lithuanian, Hungarian, Maltese, Dutch, Polish, Portuguese, Romanian, Slovak, Slovenian, Finnish, Swedish) as well as Arabic, Chinese, Japanese, and Russian (the 'Language(s)'). The services shall be performed either at the ECB's premises in Frankfurt-on-Main in Germany, or at the premises of the entities under direct SSM supervision (the 'Banks'), which may be inside or outside the EU.

The services may be provided by means of temporary grouping(s) of companies or subcontracting.

Further details are set out in the call for application, which can be obtained as indicated in Section VI.3 of this contract notice.

II.1.6) Common procurement vocabulary (CPV)

79210000, 79212000

II.1.7) Information about Government Procurement Agreement (GPA)

The contract is covered by the Government Procurement Agreement (GPA): no

II.1.8) Lots

This contract is divided into lots: no

II.1.9) Information about variants

Variants will be accepted: no

II.2) Quantity or scope of the contract

II.2.1) Total quantity or scope:

Please refer to Section II.1.5 of this contract notice.

II.2.2) Information about options

Options: no

II.2.3) Information about renewals

This contract is subject to renewal: no

II.3) Duration of the contract or time limit for completion

Duration in months: 36 (from the award of the contract)

Section III: Legal, economic, financial and technical information

III.1) Conditions relating to the contract

III.1.1) Deposits and guarantees required:

No deposit required.

III.1.2) Main financing conditions and payment arrangements and/or reference to the relevant provisions governing them:

Payment arrangements in line with the terms and conditions of the contract. Please see the details in the call for applications, which can be obtained as indicated in Section VI.3 of this contract notice.

III.1.3) Legal form to be taken by the group of economic operators to whom the contract is to be awarded:

The establishment of a temporary grouping of companies is allowed under the conditions laid down in the call for applications. Temporary groupings do not need to have a specific legal form but will be jointly and severally liable for all obligations under the contract. Please see the details in the call for applications, which can be obtained as indicated in Section VI.3 of this contract notice.

III.1.4) Other particular conditions

The performance of the contract is subject to particular conditions: no

III.2) Conditions for participation

III.2.1) Personal situation of economic operators, including requirements relating to enrolment on professional or trade registers

Information and formalities necessary for evaluating if the requirements are met: Please see the details in the call for applications, which can be obtained as indicated in Section VI.3 of this contract notice.

III.2.2) Economic and financial ability

Information and formalities necessary for evaluating if the requirements are met: Please see the details in the call for applications, which can be obtained as indicated in Section VI.3 of this contract notice.

Minimum level(s) of standards possibly required: Candidates shall have the economic, financial, technical and professional capacity to perform the contract. The ECB reserves the right to exclude candidates if it becomes aware that they do not have the economic, financial, technical and professional capacity to perform the contract. In particular, with regard to the candidate's economic and financial status, the ECB may consult databases and credit scoring/rating agencies. Depending on the outcome hereof, the ECB may request additional information and/or clarification. In duly justified cases the ECB may hereafter request a financial guarantee or undertake other measures as the case may be.

III.2.3) Technical capacity

Information and formalities necessary for evaluating if the requirements are met:

Please see the details in the call for applications, which can be obtained as indicated in Section VI.3 of this contract notice.

Minimum level(s) of standards possibly required:

Candidates must meet the following minimum requirements:

- a) candidates shall have performed for banks, between 1.9.2011 and 1.9.2014 at least 6 reference assignments per year (thus 18 in total) relating to the assessment of banks' risks as well as the review of banks' compliance with the prudential rules;
- b) candidates shall be subject to a system of quality assurance;
- c) candidates shall have at their disposal a pool of professionals at the level of manager (a professional with at least 5 years' work experience) or above who collectively possess:
 - i) in-depth knowledge and experience in assessing all the risks and in the review of compliance with the prudential rules as specified in Section II.1.5 of this contract notice; and
 - ii) advanced oral, reading, and writing skills in all the languages as specified in Section II.1.5 of this contract notice; and
 - iii) ability to travel and perform the services at the ECB's premises in Frankfurt-on-Main in Germany, or at the premises of the banks, which may be inside or outside the EU;
- d) among the pool of available professionals defined in point c) above, candidates shall have for each language as set out in Section II.1.5 of this contract notice, a team of at least 3 professionals who individually possess in-depth knowledge and experience in assessing at least 1 risk as set out in Section II.1.5 of this contract notice, with the aim to cover all languages and all risks. In addition to having advanced oral, reading, and writing skills in the language spoken within the bank subject to the mission, all professionals shall individually have advanced oral, reading, and writing skills in English.

III.2.4) Information about reserved contracts

III.3) Conditions specific to services contracts

III.3.1) Information about a particular profession

III.3.2) Staff responsible for the execution of the service

Legal persons should indicate the names and professional qualifications of the staff responsible for the execution of the service: yes

Section IV: Procedure

IV.1) Type of procedure

IV.1.1) Type of procedure

Accelerated negotiated

IV.1.2) Limitations on the number of operators who will be invited to tender or to participate

Objective criteria for choosing the limited number of candidates: Please see the details in the call for applications, which can be obtained as indicated in Section VI.3 of this contract notice.

IV.1.3) Reduction of the number of operators during the negotiation or dialogue

IV.2) Award criteria

IV.2.1) Award criteria

The most economically advantageous tender in terms of the criteria stated in the specifications, in the invitation to tender or to negotiate or in the descriptive document

IV.2.2) Information about electronic auction

An electronic auction will be used: no

IV.3) Administrative information

- IV.3.1) **File reference number attributed by the contracting authority:**
PRO-000124.
- IV.3.2) **Previous publication(s) concerning the same contract**
no
- IV.3.3) **Conditions for obtaining specifications and additional documents or descriptive document**
Time limit for receipt of requests for documents or for accessing documents: 8.12.2014 - 18:00
Payable documents: no
- IV.3.4) **Time limit for receipt of tenders or requests to participate**
8.12.2014 - 18:00
- IV.3.5) **Date of dispatch of invitations to tender or to participate to selected candidates**
- IV.3.6) **Language(s) in which tenders or requests to participate may be drawn up**
English.
- IV.3.7) **Minimum time frame during which the tenderer must maintain the tender**
- IV.3.8) **Conditions for opening of tenders**

Section VI: Complementary information

- VI.1) **Information about recurrence**
This is a recurrent procurement: no
- VI.2) **Information about European Union funds**
The contract is related to a project and/or programme financed by European Union funds: no
- VI.3) **Additional information**
The documentation for this procurement can be downloaded from an Internet platform. If you are interested to participate in the procurement procedure please register via the Internet platform using the following Internet address, user name and password:
Internet address: <https://www.ecb.europa.eu/secure/procurement/>
User name: 000124/DGMS4/COI/2014
Password: 2FD0BD

After you have registered an e-mail will be sent to you with a new user name and password. The Internet address will remain the same. Please use the new user name and password to download the tender documentation.

Should you experience any problems in accessing the Internet platform for registration and/or downloading the application documentation please do not hesitate to contact the ECB under the following e-mail address: procurement@ecb.europa.eu quoting the procurement number and problem experienced.

The ECB shall endeavour to answer all queries concerning access as quickly as possible but cannot guarantee a minimum time response. The ECB shall not be bound to reply to queries received less than 7 calendar days before the time limit for the submission of tenders.

The tender procedure shall be open on equal terms to all natural or legal persons resident or located in the European Union and to all natural and legal persons resident or located in a country which has ratified the World Trade Organisation Agreement on Government Procurement or has concluded with the European Union a bilateral agreement on procurement under the conditions laid down in the said agreements.

The tender procedure is conducted in accordance with Decision ECB/2007/5 laying down the rules on procurement (as amended), available on the ECB website at <http://www.ecb.europa.eu> (please look for the link 'for suppliers').

During the tender procedure tenderers shall not contact any other ECB staff members or organisations/persons working for the ECB with regard to this tender procedure other than the person indicated in Section I.1). Tenderers shall also not contact potential competitors unless they intend to form a temporary grouping with them or involve them as subcontractors. Any violation of this communication rule may lead to the exclusion of the tenderer in question.

VI.4) Procedures for appeal

VI.4.1) Body responsible for appeal procedures

Procurement Review Body of the European Central Bank, c/o Legal Advice Team
Sonnemannstraße 22
60314 Frankfurt-on-Main
GERMANY
Telephone: +49 6913440
Internet address: <http://www.ecb.europa.eu>
Fax: +49 6913446886

Body responsible for mediation procedures

European Ombudsman
1 avenue du Président Robert Schuman
67001 Strasbourg
FRANCE

VI.4.2) Lodging of appeals

Precise information on deadline(s) for lodging appeals: 15 days from the receipt of the information specified in Article 28(3) of Decision ECB/2007/5 laying down the rules on procurement or, if no information is requested, 15 days from the receipt of the notification to unsuccessful tenderers. Further requirements are outlined in Article 33 of this Decision. A complaint to the European Ombudsman does not affect the deadline for lodging appeals.

VI.4.3) Service from which information about the lodging of appeals may be obtained

Central Procurement Office
Sonnemannstraße 22
60314 Frankfurt-on-Main
GERMANY
Telephone: +49 6913440

VI.5) Date of dispatch of this notice:

21.11.2014